



01263.002318

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
JIAWEI HU ET AL. ) Examiner: Not Yet Assigned  
Application No.: 10/609,419 ) TC/Art Unit: 3713  
Filed: July 1, 2003 )  
For: SUMMARISATION )  
REPRESENTATION APPARATUS : April 26, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed PTO-1449 form. Copies of the listed documents also are enclosed.

Additionally, the Examiner's attention is directed to the following U.S. application:

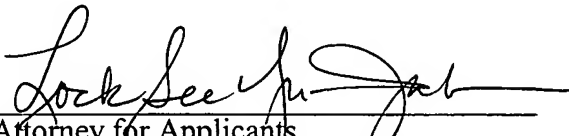
<u>APPLICATION NO.</u>	<u>FILING DATE</u>	<u>TC/ART UNIT</u>
10/797,107	March 11, 2004	NYA

A copy of the cited application, as originally filed, is enclosed.


It is respectfully requested that the enclosed documents be considered by the Examiner and that an initialed copy of the enclosed PTO-1449 form be returned indicating that the listed documents have been considered.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

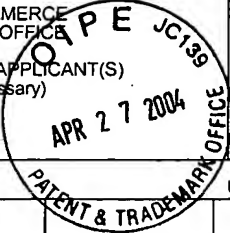
Respectfully submitted,

  
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Attorney for Applicants  
LOCK SEE YU - JAHNES  
Registration No. 38,667

FITZPATRICK, CELLA, HARPER & SCINTO  
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Facsimile: (212) 218-2200

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			ATTY DOCKET NO. <b>01263.002318</b>		APPLICATION NO. <b>10/609,419</b>	
			APPLICANT <b>JIAWEI HU ET AL.</b>			
			FILING DATE <b>July 1, 2003</b>		GROUP <b>3713</b>	
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	<b>5,924,108</b>	<b>07/13/99</b>	<b>Fein et al.</b>	<b>707</b>	<b>531</b>	
	<b>2002/0184267 A1</b>	<b>12/05/02</b>	<b>Nakao</b>	<b>707</b>	<b>515</b>	
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	<b>1 233 349 A2</b>	<b>08/21/02</b>	<b>EPO</b>			
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)						
	<b>I. Mani et al., "Multi-document Summarization by Graph Search and Matching", available at <a href="http://arxiv.org/PS_cache/cmp-lg/pdf/9712/9712004.pdf">http://arxiv.org/PS_cache/cmp-lg/pdf/9712/9712004.pdf</a>, Proceedings of the Fourteenth National Conference on Artificial Intelligence (AAAI'97), December 10, 1997, pp. 622-628.</b>					
	<b>D. Lawrie et al., "Finding Topic Words for Hierarchical Summarization", SIGIR '01, September 9-12, 2001, New Orleans, LA, pp. 349-357 and presentation slides</b>					
	<b>Roget's on-line thesaurus, available at <a href="http://humanities.uchicago.edu/orgs/ARTEL/forms_unrest/Roget.html">http://humanities.uchicago.edu/orgs/ARTEL/forms_unrest/Roget.html</a>. actual (date unknown)</b>					
	<b>Swan, R., et al., "TimeMines: Constructing Timelines with Statistical Models of Word Usage", ACM SIGKDD 2000, Workshop on Text Mining, pp. 73-80, CIIIR Technical Report IR-213, (2000) available at <a href="http://ciir.cs.umass.edu">http://ciir.cs.umass.edu</a></b>					
	<b>"NP Chunking", available at <a href="http://lcg-www.uia.ac.be/~erikt/research/np-chunking.html">http://lcg-www.uia.ac.be/~erikt/research/np-chunking.html</a>, September 13, 2002</b>					
	<b>I. Mani et al., "Automatic Summarization", Chapter 4, John Benjamin Publishing Company, 2001, pp. 77-89</b>					
	<b>S. Evert et al., "Methods for Qualitative Evaluation of Lexical Association Measures", Proceedings of the 39<sup>th</sup> Annual Meeting of the Association for Computational Linguistics, Toulouse, France, 2001</b>					
	<b>D. Lin et al., presentation slides entitled "Collocation", Department of Computing Science, University of Alberta, February 5, 2002</b>					
	<b>T. Dunning, "Accurate Methods for the Statistics of Surprise and Coincidence", Computational Linguistics 19(1), 1993, available at <a href="http://citeseer.nj.nec.com/dunning93accurate/html">http://citeseer.nj.nec.com/dunning93accurate/html</a></b>					
	<b>"The Festival Speech Synthesis System", Section 9.1 of the manual for that system, November 20, 2002, available at <a href="http://www.cstr.ed.ac.uk/projects/festival/manual/festival_9.html">http://www.cstr.ed.ac.uk/projects/festival/manual/festival_9.html</a></b>					
EXAMINER			DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	<b>2003/0028558 A1</b>	<b>02/06/03</b>	<b>Kawatani</b>	<b>707</b>	<b>500</b>	
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)						
	<b>M.A. Hearst, "Multi-Paragraph Segmentation of Expository Text", 32<sup>nd</sup> Annual Meeting of the Association for Computational Linguistics in 1994, available at <a href="http://citeseer.nj.nec.com/hearst94multiparagraph.html">http://citeseer.nj.nec.com/hearst94multiparagraph.html</a></b>					
	<b>Armour, T., presentation slides entitled "Asymmetric Threat Initiative", 2000, available at <a href="http://www.darpa.mil/darpatech2000/presentations/iso.pdf/4armouratb&amp;wrev1.pdf">http://www.darpa.mil/darpatech2000/presentations/iso.pdf/4armouratb&amp;wrev1.pdf</a></b>					
	<b>Armour, T., "Asymmetric Threat Initiative", 2000, available at <a href="http://www.darpa.mil/body/dar_archives.html">http://www.darpa.mil/body/dar_archives.html</a></b>					
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Sheet 2 of 2